

## PoDI S&O Plan

### Procedure:

1. For each PoDI, the FHWA engineer/specialist reviews the items on the check sheet and checks areas to focus oversight that mitigate the identified risks from project selection. Areas selected for FHWA oversight do not include required project actions prescribed by law. States are not assuming additional project responsibilities simply because FHWA is using risk to identify which areas to focus our resources.
2. The rationale for the selection is provided in the Reason column. If the project is also identified to receive a CAP inspection, the CAP inspection should also be noted on the check sheet.
3. The proposed plan is submitted to the employee's supervisor/team leader for concurrence.
4. The PoDI S&O Plan should be retained in the project files. As the identified oversight items are completed, the resulting documentation should be attached. Ideally, the information attained can validate the original assumptions and be used to inform the next cycle of risk assessments and unit plans.
5. The plan does not restrict FHWA staff from taking action on other items, as determined appropriate.

Federal Project Number: NHS00-0000-00(784) \* NH 800-0056-01 (052)

State Number: PI #0000784 PI #721850


Phase/activities	Selected for Involvement	Notes:
<b>Preconstruction (Design)</b>		
Design Standards (i.e. non-STA)		
Design Exceptions		Programmatic for Interstate projects per Stewardship and Oversight Agreement Page 7
Bridge/Structures design		
Consultant Selection	X	Probably P3 Procurement
Consultant Management and Use of Consultants	X	
Context Sensitive Solutions (CSS)		
Environmental Mitigation and Commitments	X	
ITS Project development -Standards & Systems Engineering		
Interstate Modification Requests/Interstate Justification Requests		Programmatic per Stewardship and Oversight Agreement Page 5

\* This project was combined with SR-400 C-D lanes (PI-721850) into one P3 contract.


Phase/activities	Selected for Involvement	Notes:
Pavement design		Programmatic per Stewardship and Oversight Agreement Page 37
Reviews:		
• Constructability	X	
• Design		
o Concept	X	
o PFPR	X	
o FFPR	X	
Road Safety Audits		
Roadside Hardware		
Traffic Analysis	X	
Transportation Management Plan (TMP)	X	
Value Engineering (VE)	X	
Financial plans	X	Major Projects requirement
ROW encroachments	X	
Experimental Features	X	
<b>Preconstruction (PS&amp;E Approval, Advertising, &amp; Award)</b>		
Plans, Specifications, and Estimates (PS&E)	X	
Plans		
Specifications	X	
Standard Specifications	X	
Supplemental Specifications	X	
Special Provisions	X	
Contract Provisions (FHWA1273, Buy America(n), Changed conditions clauses, Incentive/Disincentives, Partnering, Warranties)	X	
Engineers Estimate	X	
Project Authorization and Project Agreements	X	
ROW Clearances	X	
Pre-qualification		
Authorize advertising for bids, addenda	X	
Conditional approvals		
Pre-bid meetings		
Bid analysis and concurrence in award	X	
Innovative contracting	X	



Phase/activities	Selected for Involvement	Notes:
State contracting procedures	X	
Utility agreements	X	
Railroad agreements		
<b>Project Construction &amp; Contract Administration</b>		
Preconstruction Meeting / Partnering workshop	X	
Inspections	X	
• Initial	X	
• Intermediate (frequency: monthly)	X	
• In-Depth	X	
• Final	X	
• Special Reports		
• Process Reviews		Review as part of a process review may be determined independent of this process.
• Work Zone Review		
Project Meetings		
Materials testing / certifications		
Change orders	X	
Contractor claims	X	
Pay Estimate Reviews		
Time extensions	X	
Value Engineering change proposals	X	
Liquidated damages		
DBE	X	
OJT		
Final Acceptance	X	
<b>Other:</b>		
S&O Plan effective date		June 1, 2014

Proposed   
FHWA Engineer/Specialist

Date 4/28/14

Approved   
FHWA Supervisor/Team Leader

Date 5/8/14

Concurred   
GDOT Chief Engineer

Date 5/21/14

Project NTS00-0056-01(052) was added. Effective 6/1/15. 4/29/15 MBP/uk